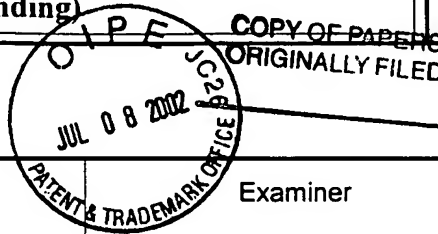


MP/1/16

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No. **PA5204**

In Re Application Of: **RUBEN AGUIRRE**



Serial No.  
**10/085,414**

Filing Date  
**02/28/2002**

Examiner

Group Art Unit

Title:

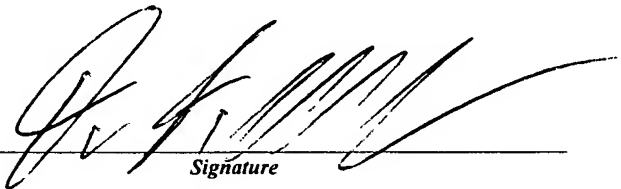
**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL; RESPONSE TO NOTICE TO FILE MISSING PARTS WITH REQUEST FOR TWO MONTH EXTENSION OF TIME; COPY OF NOTICE; COPY OF CHECK AND RETURN POSTCARD SENT WITH FIRST RESPONSE TO NOTICE TO FILE MISSING PARTS SHOWING RECEIPT BY THE PTO OF FILING FEE, SURCHARGE AND ONE MONTH EXTENSION OF TIME ON MAY 31, 2002; RETURN POSTCARD AND CHECK NO. 3560 IN THE AMOUNT OF \$200.00.**

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of **\$200.00** is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

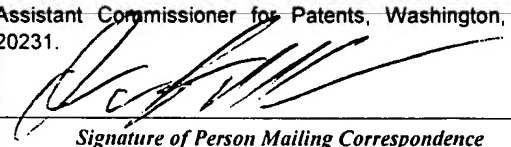
  
*Signature*

Dated: **JUNE 29, 2002**

**DON FINKJELSTEIN**

**LAW OFFICES OF DON FINKELSTEIN  
3858 CARSON STREET, SUITE 216  
TORRANCE, CA 90503**

I certify that this document and fee is being deposited on **JUNE 29, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
*Signature of Person Mailing Correspondence*

**DON FINKELSTEIN**

*Typed or Printed Name of Person Mailing Correspondence*

CC:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): RUBEN AGUIRRE

Docket No.

PA5204

Serial No.

10/085,414

Filing Date

02/28/2002

Examiner

Group Art Unit

COPY OF PAPERS  
ORIGINALLY FILED

Invention: FLUTED DRILL TOOL WITH FRUSTUM

I hereby certify that this **(SECOND) RESPONSE TO NOTICE TO FILE MISSING PARTS**

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**JUNE 29, 2002**

(Date)

**DON FINKELSTEIN**

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No. **#6**  
PA5204

In Re Application Of: **RUBEN AGUIRRE**

Serial No.  
**10/085,414**

Filing Date  
**02/28/2002**

Examiner

Group Art Unit

Invention: **FLUTED DRILL TOOL WITH FRUSTUM**



COPY OF PAPERS  
ORIGINALLY FILED

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
**MARCH 20, 2002**  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☐ Other (list):

07/10/2002 5DENBOR1 00000036 10085414

01 FC:216

200.00 0P

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**PA5204**

In Re Application Of: **RUBEN AGUIRRE**

Serial No.  
**10/085,414**

Filing Date  
**02/28/2002**

Examiner

Group Art Unit

Invention: **FLUTED DRILL TOOL WITH FRUSTUM**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☐ Utility application filing fee \_\_\_\_\_

☐ Design application filing fee \_\_\_\_\_

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims \_\_\_\_\_

☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \_\_\_\_\_

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \_\_\_\_\_

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☒ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: 05/20/2002  
Date

until: 07/20/2002  
Date

Total time extension fees \$200.00

Total fees due \$200.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**PA5204**

In Re Application Of: **RUBEN AGUIRRE**

Serial No.  
**10/085,414**

Filing Date  
**02/28/2002**

Examiner

Group Art Unit

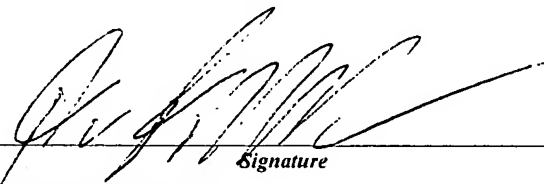
Invention: **FLUTED DRILL TOOL WITH FRUSTUM**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

The fee of **\$200.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

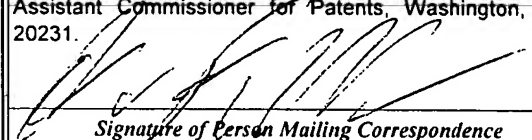
  
*Signature*

**DON FINKELSTEIN**

Dated: **JUNE 29, 2002**

CC:

I certify that this document and fee is being deposited on **JUNE 29, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
*Signature of Person Mailing Correspondence*

**DON FINKELSTEIN**

*Typed or Printed Name of Person Mailing Correspondence*



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/085,414	02/28/2002	Ruben Aguirre	PA5204

CONFIRMATION NO. 3212

## FORMALITIES LETTER



\*OC000000007673352\*

DON FINKELSTEIN  
ATTORNEY FOR APPLICANT  
SUITE 216  
3858 CARSON STREET  
TORRANCE, CA 90503



Date Mailed: 03/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

LAW OFFICES 12/00  
**DON B. FINKELSTEIN**  
PATENT LAWYER  
3858 W CARSON STREET SUITE 216  
TORRANCE, CA 90503-6700  
310-543-0070

WASHINGTON MUTUAL BANK, F.A.  
TORRANCE/DEL AMO FINANCIAL CENTER 1407  
21660 HAWTHORNE BOULEVARD  
TORRANCE, CA 90503  
90-7162/3222

3519

May 20, 2002

Pay to the  
Order of

DIRECTOR OF THE U.S. PATENT AND TRADEMARK OFFICE

\$ \*\*490.00

\*FOUR HUNDRED NINETY & NO/100\*\*\*\*\*

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Dollars

DOCKET: PA5204

CLIENT: BRIESE INDUSTRIAL TECH., INC.

Filing Fee, Surcharge, Petition 1 month



*[Handwritten signature]*

⑈003519⑈ ⑈322271627⑈87⑈ 284699⑈8⑈

RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PA5204

PLACE  
STAMP  
HERE

COPY OF NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION,  
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION IN APPLICATION  
SERIAL NO. 10/085,414, FILED 02/28/2002 FOR: FLUTED DRILL TOOL WITH FRUSTUM  
INVENTOR: RUBEN AGUIRRE

DBF  
MAILED MAY 20, 2002 FIRST CLASS MAIL

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STAMP  
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COPY OF NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION,  
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION IN APPLICATION  
SERIAL NO. 10/085,414, FILED 02/28/2002 FOR: FLUTED DRILL TOOL WITH FRUSTUM  
INVENTOR: RUBEN AGUIRRE

DBF  
MAILED MAY 20, 2002 FIRST CLASS MAIL



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